

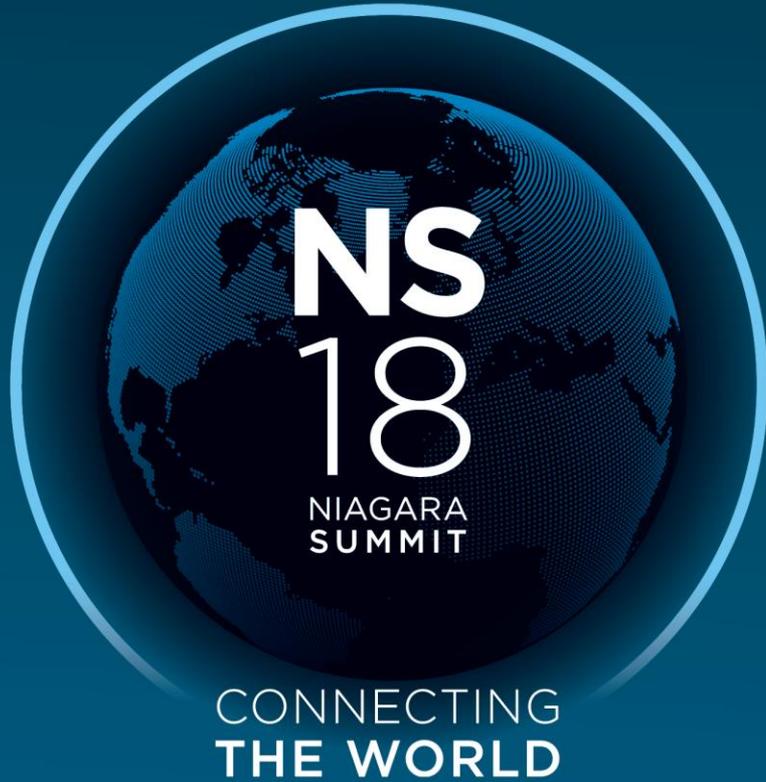


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**CONNECTING  
THE WORLD**



# Department of Energy – Advanced Controls

*Paul Ehrlich, PE*

*Pacific Northwest National  
Laboratory*

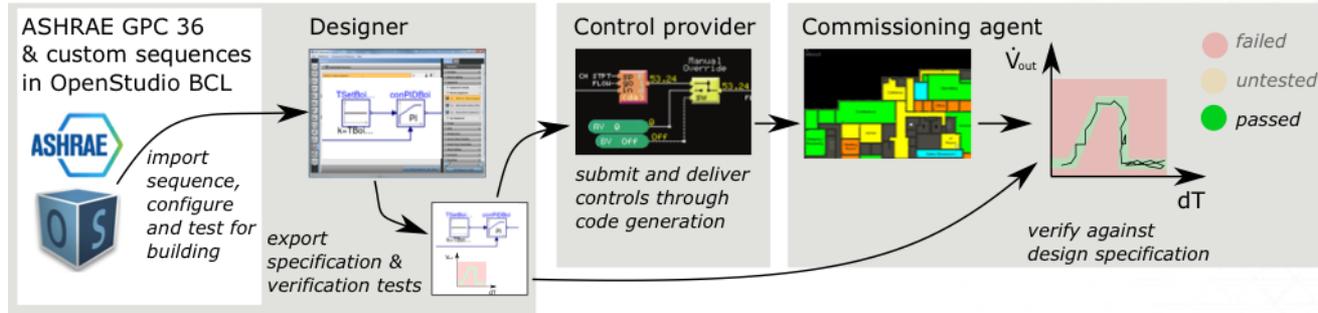
# Controls Challenges

- System designers lack tools to design and validate control sequences
- Engineers sequences have to be interpreted by contractors – a process that is slow, error prone, and frustrating
- Optimized controls can save energy but are more complicated and costly to implement.
- Advanced controls are more sensitive to errors in programming, bad sensors, etc.

# Solutions

- ASHRAE Guideline 36: Test and document controls best practices
- Open Building Controls: Tools to model sequences, machine readable formats, verification tools
- Adaptive Controls: Use model predictive control and machine learning to make systems self optimizing

# Open Building Control: Design and implement control sequences error-free and at lower cost to owner



Codify best practice

Design

Implement

Verify against original design

**BACnet** standardized communication.

**Open Building Control** will standardize:

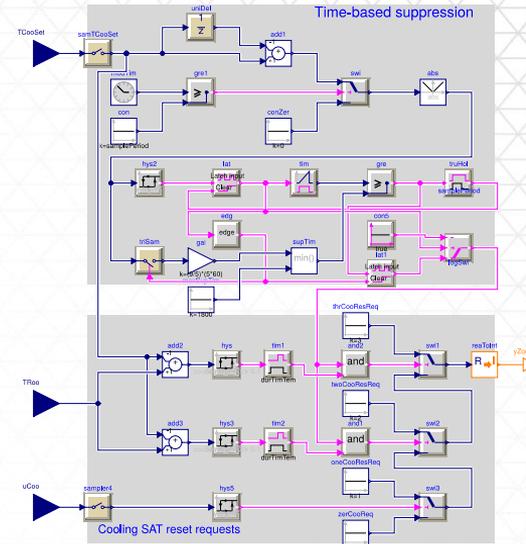
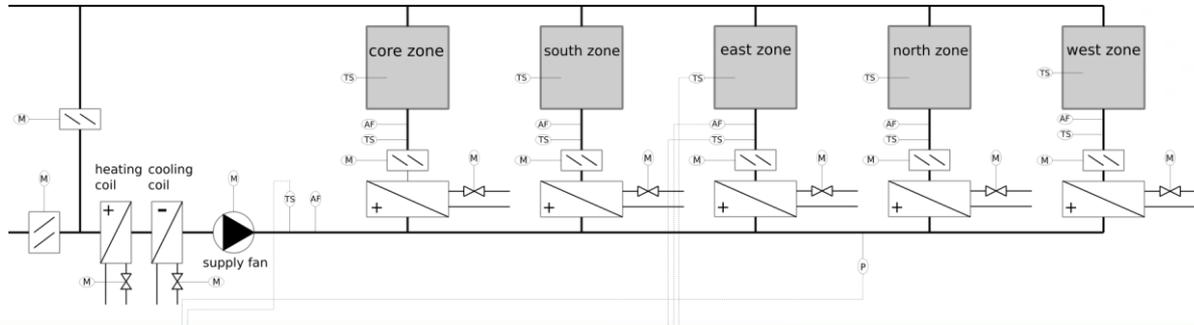
- Design: Libraries, modeling tools, electronic representation of sequences
- Delivery: Sequences can be translated instead of being interpreted
- Verification: Delivered sequence can be verified against design

# OBC Team and Status

- Project being led by Lawrence Berkeley National Lab – with funding from DOE
- Close cooperation with ASHRAE Guideline 36
- Outside project team and advisors include:
  - Leading controls system designers (Taylor, Santos, Goldschmidt, etc.)
  - Large owners (GSA, Stanford, Oracle, CBRE)
  - Controls suppliers (ALC, Distech, Tridium, etc.)
- Status: Work started in 2016, modeling tools are completed, work on translating CDL is under way.

# Case study: Multi-zone VAV controls and equipment

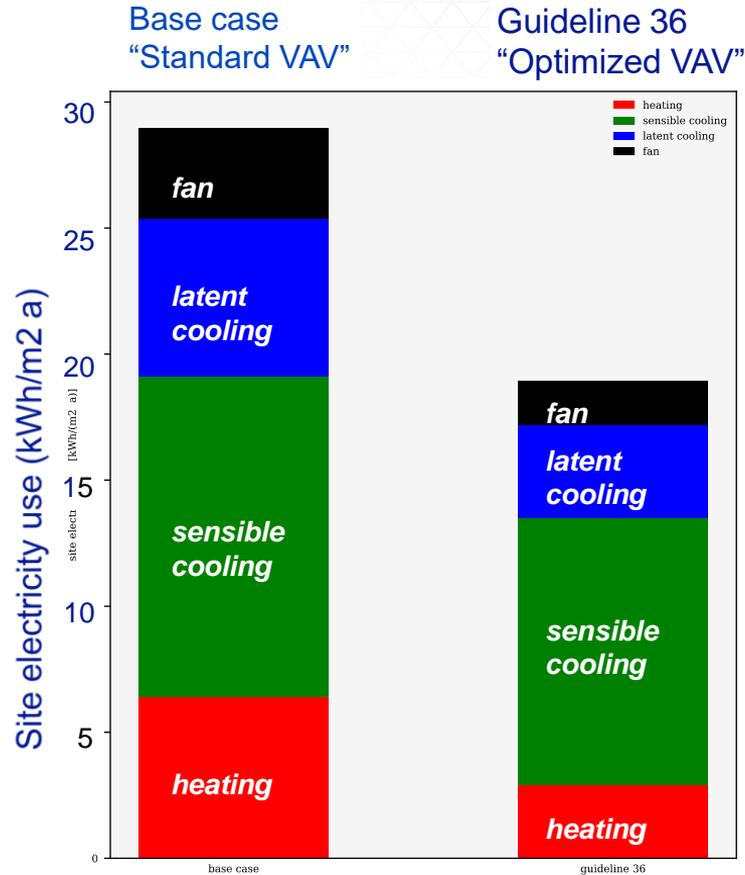
- Full airflow network.
- Wind pressure driven infiltration.
- All flows based on flow friction, damper positions and fan curves.
- 4,000 components, 40,000 variables, adaptive time step, state/time events.



Static pressure reset requests  
Hot water reset requests

# Modeling Results

- ~30% annual site HVAC energy savings for Chicago, solely due to controls.
- Can simulate actual control sequences, with dynamic response.
- Packaging of sequences is important, because interpretation and implementation of the sequences was more time-consuming and error-prone than anticipated.



# Open Building Control Next Steps

- Simulations completed, controls description language defined
- Next step is to collaborate with controls suppliers to develop translators that will transform the CDL into their proprietary controls language
- Continued work on validation tools
- Final step is field testing

# Adaptive Controls Using Machine Learning

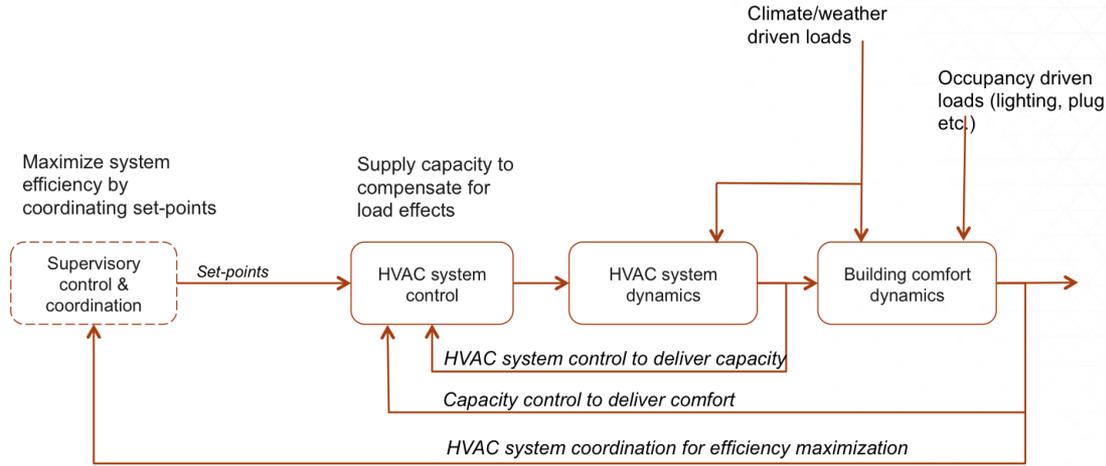
- Model Predictive Control (MPC) is the “next thing” for controls
- Systems use real world data coupled with models and simulations to learn in real time how to operate in an optimal method
- This process is being widely used in many areas – but is new for building controls
- System parameters such as comfort, capacity, etc. can be constrained in the model

# Adaptive Team and Status

- Project being led by Pacific Northwest National Lab – with funding from DOE
- Project is being done in coordination with other programs related to fault detection and diagnostics
- Status: Work started in 2016, modeling and simulations are underway, next step is real world testing

# Adaptive Supervisory Control

## Existing typical implementations

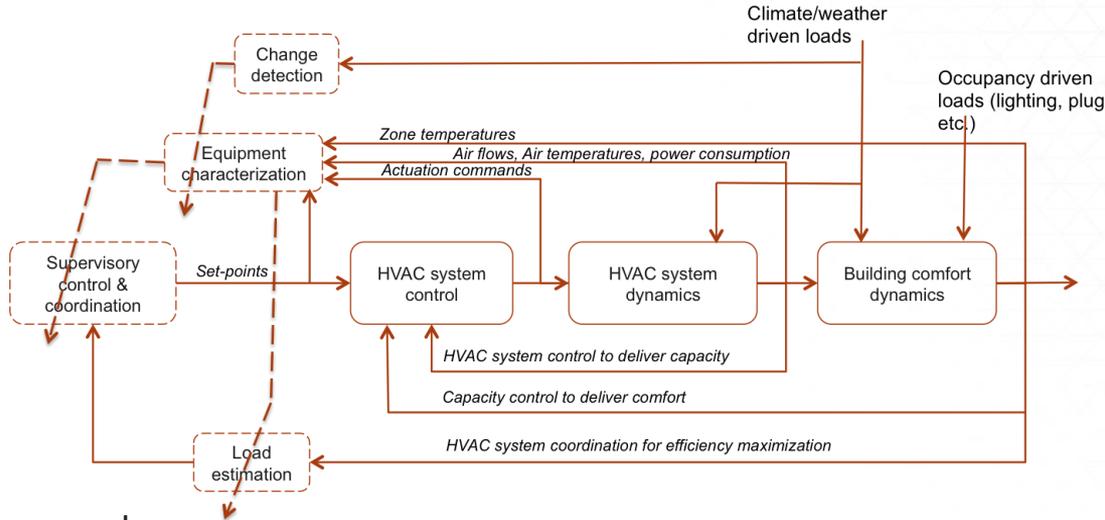


## Objectives

- ▶ HVAC energy consumption reduction: >15%
- ▶ Eliminate need for manual seasonal tuning of supervisory control: self-learning
- ▶ Scalable installation process: cost-effective

# Adaptive Supervisory Control

## Proposed control architecture



## Approach

- ▶ Automated data-driven equipment characterization and load estimation
- ▶ Set-point coordination based on robust optimization: self-optimizing
- ▶ Use of machine learning and model predictive control

# Optimization Model

$$z(\Theta) = \min_{x^1, \dots, x^K} \left\{ \sum_{t \in \mathcal{T}} (\eta_f P_f^t + \eta_h P_h^t + \eta_c P_c^t) + \lambda v^2 \right\},$$

$$\text{s.t. } T_n^t = \sum_{j=1}^q \hat{\alpha}_n^j T_n^{t-j} + \hat{\beta}_n m_n^t (T_{s,n}^t - T_n^t) + \hat{\gamma}_n T_o^t + Q_n^t,$$

$$P_f^t = \theta_0 + \theta_1 \sum_{n \in \mathcal{N}} m_n^t + \theta_2 \left( \sum_{n \in \mathcal{N}} m_n^t \right)^2 + \theta_3 p^t,$$

$$\left( p^t, \sum_{n \in \mathcal{N}} m_n^t \right) \in \hat{\mathcal{C}},$$

$$P_h^t = \nu_h c_p \sum_{n \in \mathcal{N}} m_n^t (T_i^t - T_m^t) + c_p \sum_{n \in \mathcal{N}} \nu_n m_n^t (T_{s,n}^t - T_s^t)$$

$$P_c^t = \nu_c c_p \sum_{n \in \mathcal{N}} m_n^t (T_i^t - T_s^t),$$

$$T_r^t = \sum_{n \in \mathcal{N}} m_n^t T_n^t / \sum_{n \in \mathcal{N}} m_n^t,$$

$$T_m^t = d^t T_o^t + (1 - d^t) T_r^t,$$

$$T_n^t \geq T_n^{\ell} - v,$$

$$T_n^t \leq T_n^u + v,$$

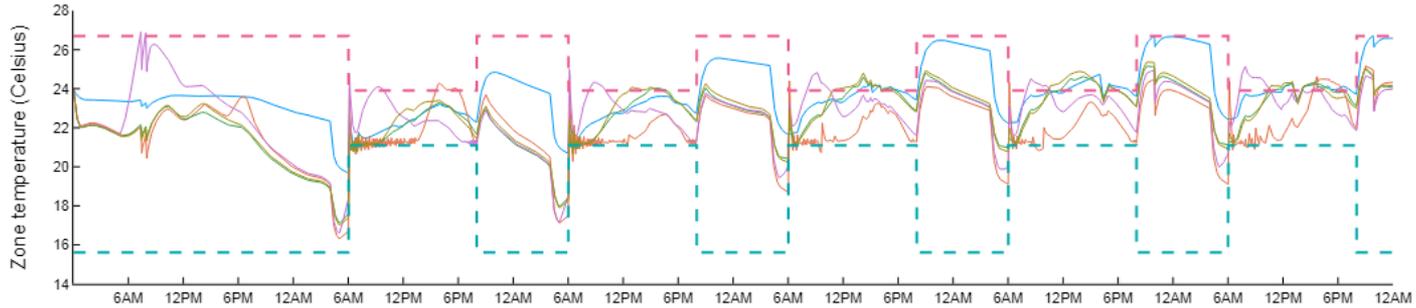
Variable	Notation	Units	Range
Supply-air temperature	$T_s^t$	°C	[12.8, 70.0]
Discharge-air temperature in zone $n$	$T_{s,n}^t$	°C	$[T_s^t, 70.0]$
Mixed-air temperature	$T_m^t$	°C	$[\min\{T_o^t, T_r^t\}, \max\{T_o^t, T_r^t\}]^*$
Mass-flow rate in zone 1	$m_1^t$	kg/s	[1.31, 13.10]
Mass-flow rate in zone 2	$m_2^t$	kg/s	[0.27, 2.70]
Mass-flow rate in zone 3	$m_3^t$	kg/s	[0.18, 1.79]
Mass-flow rate in zone 4	$m_4^t$	kg/s	[0.23, 2.28]
Mass-flow rate in zone 5	$m_5^t$	kg/s	[0.21, 2.08]
Static pressure	$p^t$	Pa	[24.88, 171.70]

\* Note that  $T_o^t$  is a measured variable, while  $T_r^t$  is simply an auxiliary decision.

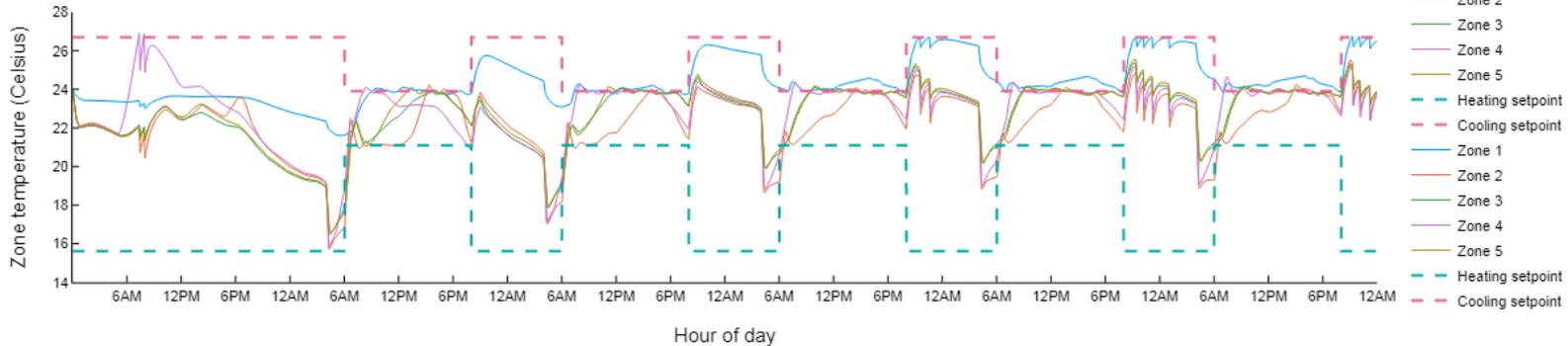
Parameter	Description	Value	Unit
$K$	Length of the prediction horizon	20	stage
$\nu_c$	Efficiency of the AHU cooling coils	1.0	-
$\nu_h$	Efficiency of the AHU heating coils	1.0	-
$\nu_n$	Efficiency of the VAV reheat coils	1.0	-
$T_{s,n}^{\ell}, T_n^u$	Lower and upper bounds for the zone temperatures in occupied interval	21.1, 23.9	°C
$T_n^{\ell}, T_n^u$	Lower and upper bounds for the zone temperatures in unoccupied interval	15, 30	°C
$\eta_f, \eta_h, \eta_c$	Weights in the optimization objective	1, 1, 2	-
$\lambda$	Slack parameter	$10^5$	-

# Zone temperatures (floor 1)

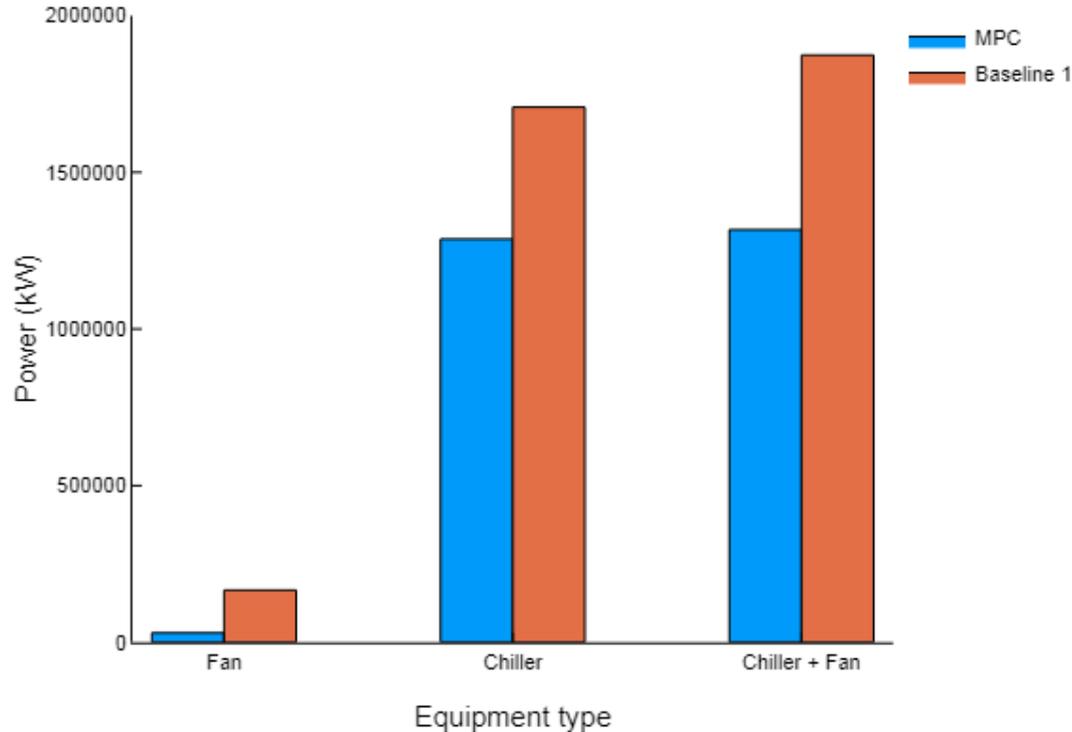
MPC



Baseline 1



# Modeled Results



MPC savings over Baseline 1

- 1) Fan = 82 %
- 2) Chiller = 25 %
- 3) Total = 30 %

# Next Steps for Adaptive Controls and MPC

- Additional modeling and simulations
- Deploy in advanced controls lab using PNNL developed tools



# Contact Info

Paul Ehrlich

(651) 204-0105

[Paul.Ehrlich@pnnl.gov](mailto:Paul.Ehrlich@pnnl.gov)